

Implementation Stage Quality Assurance Report

Form Status: Approved	
Overall Rating:	Needs Improvement
Decision:	Take remedial action: The project has issues that must be addressed or the project may be suspended. If the Social and Environmental Standards criterion is below satisfactory, the project may be suspended if the deficiencies are not addressed. All management actions must be addressed in a timely manner.
Portfolio/Project Number:	00110577
Portfolio/Project Title:	Resiliencia Costera
Portfolio/Project Date:	2019-06-28 / 2023-11-28

Strategic	Quality Rating: Exemplary
<p>1. Is the project pro-actively identifying changes to the external environment and incorporating them into the project strategy?</p> <ul style="list-style-type: none"> <input checked="" type="radio"/> 3: <i>The project team has identified relevant changes in the external environment that may present new opportunities or threats to the project’s ability to achieve its objectives and the assumptions have been tested to determine if the project’s strategy is still valid. There is evidence that the project board has considered the implications, and documented any changes needed to the project in response. (all must be true)</i> <input type="radio"/> 2: The project team has identified relevant changes in the external environment that may present new opportunities or threats to the project’s ability to achieve its objectives. There is some evidence that the project board discussed this, but relevant changes may not have been fully integrated in the project. (both must be true) <input type="radio"/> 1: The project team may have considered relevant changes in the external environment since implementation began, but there is no evidence that the project team has considered changes to the project as a result. 	

Evidence:

El análisis sistemático del contexto ha permitido, por una parte, promover la inserción de las soluciones naturales para la adaptación al cambio climático que se implementan en los 4 sitios de intervención, en los planes territoriales para la implementación del Plan de Estado para el Enfrentamiento al Cambio Climático, Tarea Vida, a nivel territorial. El fortalecimiento de los Centros para la Gestión de Riesgos, CGRR y los Centros de creación de Capacidades, CCC-GCA municipales y locales, y la promoción del trabajo coordinado de ambos centros, ha sido reconocido por los gobiernos y entidades clave a nivel local, como un aporte esencial para la creación de capacidades que demanda la implementación de la Tarea Vida a este nivel.

Por otra parte, el análisis del contexto también permitió evaluar las afectaciones relacionadas con la pandemia de la COVID 19 que ha enfrentado el país, que limitaron las acciones presenciales y dilatado los procesos de importaciones y el desarrollo en general de las actividades inicialmente previstas. Esta situación fue analizada en el Comité Directivo Nacional, CDN, de finales del 2020 y monitoreada durante el 2021 en los encuentros del Comité Técnico Administrativo, CTA, y permitió tomar medidas para mejorar las capacidades de comunicación a distancia de los equipos nacional y territoriales así como acordar con el donante una extensión de 12 meses del periodo de aplicación para concluir la implementación de las soluciones y el monitoreo de los impactos.

List of Uploaded Documents

#	File Name	Modified By	Modified On
1	2021.03.11NotaresumendellamadadeseguimientoAMA-PNUD-DUE-CTAResilienciaCostera_11812_201 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/2021.03.11NotaresumendellamadadeseguimientoAMA-PNUD-DUE-CTAResilienciaCostera_11812_201.pdf)	teresa.lopez.seijas@undp.org	2/1/2022 5:42:00 PM

2. Is the project aligned with the UNDP Strategic Plan?

- 3: The project responds at least one of the [development settings](#)³ as specified in the Strategic Plan (SP) and adopts at least one [Signature Solution](#)⁴ and the project's RRF includes at all the relevant SP output indicators. (all must be true)
- 2: *The project responds to one of the three areas of development work¹ as specified in the Strategic Plan. The project's RRF includes at least one SP output indicator, if relevant. (both must be true)*
- 1: While the project may respond to a partner's identified need, this need falls outside the UNDP Strategic Plan. Also select this option if none of the relevant SP indicators are included in the RRF.

Evidence:

El proyecto aporta al area estratégica de desarrollo del SP UNDP "Built resilience to shocks and crises", al Outcome 3 del SP UNDP 2018-2021 "Institutions, production and service sectors, territorial governments and communities improve the protection and rational use of natural resources and ecosystems, resilience to climate change, and comprehensive disaster risk reduction management", Output 3.2 "Strengthened capacities of key actors for comprehensive management at national and territorial levels for disaster risk reduction and adaptation to climate change, incorporating gender and population perspective", Indicador 3.2.1

Aporta con sus resultados de manera directa a las Soluciones emblemáticas 3 y 4: mejorar la capacidad nacional de prevención y recuperación para sociedades resilientes y promover soluciones basadas en la naturaleza para un planeta sostenible. Por la transversalización del enfoque de género en sus resultados aporta también a la Solución emblemática 6: fortalecer la igualdad de género y el empoderamiento de las mujeres y las niñas.

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No documents available.			

Relevant

Quality Rating: Satisfactory

3. Are the project's targeted groups being systematically engaged, with a priority focus on the discriminated and marginalized, to ensure the project remains relevant for them?

- 3: Systematic and structured feedback has been collected over the past two years from a representative sample of beneficiaries, with a priority focus on the discriminated and marginalized, as part of the project’s monitoring system. Representatives from the targeted groups are active members of the project’s governance mechanism (i.e., the project board or equivalent) and there is credible evidence that their feedback informs project decision making. (all must be true)
- 2: *Targeted groups have been engaged in implementation and monitoring, with a priority focus on the discriminated and marginalized. Beneficiary feedback, which may be anecdotal, has been collected over the past year to ensure the project is addressing local priorities. This information has been used to inform project decision making. (all must be true)*
- 1: Some beneficiary feedback may have been collected over the past year, but this information has not been used to inform project decision making. This option is also selected if no beneficiary feedback has been collected.
- Not Applicable

Evidence:

Los grupos objetivos han demostrado compromiso en la implementación de las actividades, así como en la gobernanza del proyecto, colaborando en buscar alternativas de avance en las difíciles condiciones enfrentadas asociadas a la pandemia de la COVID 19. Los equipos técnicos territoriales han involucrado al personal técnico, productivo y directivo de las entidades clave a nivel local en el proceso de monitoreo del avance de la implementación de las soluciones de adaptación y las herramientas que se implementan, levantando y consolidando la información relevante para los informes anuales del proyecto. En el 2021 se realizó el levantamiento de los 19 proyectos iniciados para la implementación de las soluciones de adaptación basada en ecosistemas, AbE, definiendo las áreas que se benefician y la cantidad de personas desglosadas por sexo y edades. La participación de los beneficiarios en este proceso ha sido clave.

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4. Is the project generating knowledge and lessons learned (i.e., what has worked and what has not) and has this knowledge informed management decisions to ensure the continued relevance of the project towards its stated objectives, the quality of its outputs and the management of risk?

- 3: Knowledge and lessons learned from internal or external sources (gained, for example, from Peer Assists, After Action Reviews or Lessons Learned Workshops) backed by credible evidence from evaluation, corporate policies/strategies, analysis and monitoring have been discussed in project board meetings and reflected in the minutes. There is clear evidence that changes were made to the project to ensure its continued relevance. (both must be true)
- 2: *Knowledge and lessons learned backed by relatively limited evidence, drawn mainly from within the project, have been considered by the project team. There is some evidence that changes were made to the project as a result to ensure its continued relevance. (both must be true)*
- 1: There is limited or no evidence that knowledge and lessons learned have been collected by the project team. There is little or no evidence that this has informed project decision making.

Evidence:

Se han resumido en los informes anuales del proyecto las lecciones aprendidas identificadas por los equipos nacional y territoriales en el proceso de implementación del proyecto, Se adjunta acápite XIII del Informe de Situación No 3 para el periodo sept 2020-agosto 2021.

Se ha avanzado en este periodo en la generación y difusión de productos de conocimiento y de sistematización de experiencias en apoyo a los temas clave del proyecto. Generados 4 productos que resumen los resultados que se tienen en Cuba hasta la fecha sobre la situación actual y las tendencias de las afectaciones previstas para 2050 y 2100 en cada una de las 4 zonas costeras de intervención (mapas e información), que fueron difundidos a actores clave en los grupos de trabajo territoriales. También se generó un folleto denominado Lente Resiliente con selección de fotos que presentan problemáticas de las áreas de intervención y acciones promovidas.

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1	AcapiteXIIIDificultadesRecomendacionesyLeccionesaprenididas-InformeNo3ResilienciaCostera_11812_204 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/AcapiteXIIIDificultadesRecomendacionesyLeccionesaprenididas-InformeNo3ResilienciaCostera_11812_204.pdf)	teresa.lopez.seijas@undp.org	2/1/2022 10:17:00 PM

5. Is the project sufficiently at scale, or is there potential to scale up in the future, to meaningfully contribute to development change?

- 3: There is credible evidence that the project is reaching a sufficient number of beneficiaries (either directly through significant coverage of target groups, or indirectly, through policy change) to meaningfully contribute to development change.
- 2: *While the project is currently not at scale, there are explicit plans in place to scale up the project in the future (e.g. by extending its coverage or using project results to advocate for policy change).*
- 1: The project is not at scale, and there are no plans currently to scale up the project in the future.

Evidence:

El proyecto ha avanzado en este periodo en la conclusión de los trabajos metodológicos para la generación de las herramientas de planificación/ gestión que se aplicarán y en el inicio de la implementación de las soluciones naturales de adaptación en las 4 áreas de intervención directa, lo que beneficiarán a más de 190,000 personas (95,000 mujeres). Está planificado que en el último periodo (2022-2023) que se realicen acciones de réplica y difusión de conocimientos y lecciones aprendidas en los restantes 11 municipios de las áreas de intervención.

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Principled

Quality Rating: Needs Improvement

6. Are the project’s measures (through outputs, activities, indicators) to address gender inequalities and empower women relevant and producing the intended effect? If not, evidence-based adjustments and changes have been made.

- 3: The project team has systematically gathered data and evidence through project monitoring on the relevance of the measures to address gender inequalities and empower women. Analysis of data and evidence were used to inform adjustments and changes, as appropriate. (both must be true)
- 2: *The project team has some data and evidence on the relevance of the measures to address gender inequalities and empower women. There is evidence that at least some adjustments were made, as appropriate. (both must be true)*
- 1: The project team has limited or no evidence on the relevance of measures to address gender inequalities and empowering women. No evidence of adjustments and/or changes made. This option should also be selected if the project has no measures to address gender inequalities and empower women relevant to the project results and activities.

Evidence:

Durante este periodo, se ha promovido desde la coordinación nacional y con la asesoría de la oficial de género del PNUD y del equipo de la Facultad Latinoamericana de Ciencias Sociales (FLACSO) de la Universidad de La Habana, un proceso de organización y estructuración de las acciones del componente Género del proyecto y su integración de manera transversal a todos los Resultados. A nivel territorial se han integrado especialistas con experiencia en los estudios sociales, de las Facultades de ciencias Sociales o Historia y Filosofía de las Universidades presentes en los territorios. Se han generado dos instrumentos para levantar información para los análisis de género: 1. Encuesta de percepción social multiriesgo, con enfoque de género que será aplicada en el marco de las evaluaciones comunitarias y 2. Instrumento para entrevistas a informantes clave que ha sido aplicado a distancia en el último trimestre del 2021 y permitirá explorar la sensibilidad y concientización de género en el territorio. Se ha trabajado además en la integración de este enfoque en herramientas clave que se desarrollaron en los Resultados II y III (Metodología para Planes municipales de ACC sensibles a género y Plataforma de Gestión del conocimiento para la RRD-ACC). Se destaca la realización en este periodo de un producto comunicativo (juego de tarjetas) que visibiliza la alineación de las acciones del proyecto con las áreas estratégicas del Programa Nacional para el Adelanto de las Mujeres (PAM) y permite la difusión de este programa nacional en los municipios y comunidades de intervención.

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#	File Name	Modified By	Modified On
1	Encuestamultiriesgoconenfoquegenero-ResilienciaCostera_11812_206 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/Encuestamultiriesgoconenfoquegenero-ResilienciaCostera_11812_206 .pdf)	teresa.lopez.seijas@undp.org	2/1/2022 11:04:00 PM
2	TarjetasPAMResilienciaCostera2021_11812_206 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/TarjetasPAMResilienciaCostera2021_11812_206 .pdf)	teresa.lopez.seijas@undp.org	2/1/2022 11:05:00 PM

7. Are social and environmental impacts and risks being successfully managed and monitored?

- 3: Social and environmental risks are tracked in the risk log. Appropriate assessments conducted where required (i.e., Environmental and Social Impact Assessment (ESIA) for Substantial and High risk projects and some level of social and environmental assessment for Moderate risk projects as identified through SESP). Relevant management plan(s) developed for identified risks through consultative process and implemented, resourced, and monitored. Risks effectively managed or mitigated. If there has been a substantive change to the project or change in context that affects risk levels, the SESP is updated to reflect these changes. (all must be true)
- 2: *Social and environmental risks are tracked in the risk log. Appropriate assessments conducted where required (i.e., Environmental and Social Impact Assessment (ESIA) for Substantial and High risk projects and some level of social and environmental assessment for Moderate risk projects as identified through SESP). Relevant management plan(s) developed, implemented and monitored for identified risks. OR project is categorized as Low risk through the SESP.*
- 1: Social and environmental risks have not been tracked in the risk log. For projects categorized as High, Substantial, and Moderate Risk there is no evidence that social and environmental assessments have been completed and/or management plans or measures development, implemented or monitored. There have been substantive changes to the project or changes in the context but SESP has not been updated. (any may be true)

Evidence:

Este proyecto implementa diferentes tipos de actividades que son complementarias entre sí y que fortalezcan las capacidades de las comunidades costeras para la reducción del riesgo de desastres y la adaptación al cambio climático. Se ha apoyado desde los equipos territoriales las acciones de la Defensa Civil para la preparación de la población y las comunidades frente a las temporadas ciclónicas.

Se ha mantenido la consulta e intercambio sistemático de la coordinación del proyecto con los actores clave a nivel local, para la conciliación de las acciones, el involucramiento de las entidades y la validación de los resultados. Ha resultado clave la participación activa de los actores locales para definir las acciones que abordan sus vulnerabilidades y necesidades, apoyado en un plan de participación comunitaria y de difusión sobre las actividades del proyecto en los sitios.

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8. Are grievance mechanisms available to project-affected people and are grievances (if any) addressed to ensure any perceived harm is effectively mitigated?

- 3: Project-affected people have been actively informed of UNDP’s Corporate Accountability Mechanism (SRM/SECU) and how to access it. If the project is categorized as High, Substantial, or Moderate Risk through the SESP, a project-level grievance mechanism is in place and project affected people informed. If grievances have been received, they are effectively addressed in accordance with SRM Guidance. (all must be true)
- 2: Project-affected people have been informed of UNDP’s Corporate Accountability Mechanism and how to access it. If the project is categorized as Substantial or High Risk through the SESP, a project-level grievance mechanism is in place and project affected people informed. If grievances have been received they are responded to but face challenges in arriving at a resolution.
- 1: *Project-affected people not informed of UNDP’s Corporate Accountability Mechanism. If grievances have been received they are not responded to. (any may be true)*

Evidence:

El proyecto no ha experimentado riesgos sociales y ambientales no previstos. En el primer trimestre del 2022 se prevé compartir con los actores nacionales y locales acerca del UNDP Corporate Accountability Mechanism.

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No documents available.

Management & Monitoring

Quality Rating: Satisfactory

9. Is the project’s M&E Plan sufficient and adequately implemented?

- 3: The project has a comprehensive and costed M&E plan. Baselines, targets and milestones are fully populated. Progress data against indicators in the project’s RRF is being reported regularly using credible data sources and collected according to the frequency stated in the Plan, including sex disaggregated data as relevant. Any evaluations conducted, if relevant, fully meet decentralized evaluation standards, including gender UNEG standards. Lessons learned, including during evaluations and/or After Action Reviews, are used to take corrective actions when necessary. (all must be true)
- 2: *The project has a costed M&E Plan, and most baselines and targets are populated. Progress data against indicators in the project’s RRF is collected on a regular basis, although there may be some slippage in following the frequency stated in the Plan and data sources are not always reliable. Any evaluations conducted, if relevant, meet most decentralized evaluation standards. Lessons learned have been captured but may not have been used to take corrective actions yet. (all must be true)*
- 1: The project has an M&E Plan, but costs are not clearly planned and budgeted for, or are unrealistic. Progress data is not being regularly collected against the indicators in the project’s RRF. Evaluations may not meet decentralized evaluation standards. Lessons learned are rarely captured and used. Select this option also if the project does not have an M&E plan.

Evidence:

Las acciones de monitoreo y evaluación del proyecto han sido adaptadas para su realización en modalidad a distancia o semipresencial durante 2020-2021, a partir de las dificultades afrontadas por la pandemia de la COVID 19, ya explicadas. El proceso realizado ha permitido elaborar Informes de Situación anuales sustantivos que describen y evidencian los avances hasta la fecha en el cumplimiento de las metas por Resultados así como los retos y desafíos para el próximo periodo.

Se adjunta el Marco de Monitoreo actualizado para el Informe de Situación No 3 para UE con cierre agosto 2021.

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#	File Name	Modified By	Modified On
1	MARCODEMONITOREOResilienciaCosteralInformeNo32021_11812_209 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/MARCODEMONITOREOResilienciaCosteralInformeNo32021_11812_209.pdf)	teresa.lopez.seijas@undp.org	2/1/2022 11:47:00 PM

10. Is project's governance mechanism (i.e., the project board or equivalent) functioning as intended?

- 3: The project's governance mechanism is operating well, and is a model for other projects. It has met in the agreed frequency stated in the project document and the minutes of the meetings are on file. There is regular (at least annual) progress reporting to the project board or equivalent on results, risks and opportunities. It is clear that the project board explicitly reviews and uses evidence, including progress data, knowledge, lessons and evaluations, as the basis for informing management decisions (e.g., change in strategy, approach, work plan.) (all must be true to select this option)
- 2: *The project's governance mechanism has met in the agreed frequency and the minutes of the meeting are on file. A project progress report has been submitted to the project board or equivalent at least once in the past year, covering results, risks and opportunities. (both must be true to select this option)*
- 1: The project's governance mechanism has not met in the frequency stated in the project document over the past year and/or the project board or equivalent is not functioning as a decision-making body for the project as intended.

Evidence:

El Comité Directivo Nacional, CDN, realizó su primera reunión en noviembre 2020 y la segunda reunión planificada para noviembre del 2021 se realizó en modalidad a distancia, dada la situación sanitaria compleja de la ciudad de La Habana. Se circuló el Informe anual y se recogieron los criterios y consideraciones de cada miembro. Los CTA han funcionado regularmente, en modalidad a distancia, al menos una cada tres meses y han resultado efectivos para el seguimiento de resultados y las dificultades y la toma de medidas de manejo, incluyendo la decisión sobre la extensión del periodo de implementación ya explicada en la pregunta 1.

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#	File Name	Modified By	Modified On
1	ActaCteDirectivoNo1RC_11812_210 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/ActaCteDirectivoNo1RC_11812_210.pdf)	teresa.lopez.seijas@undp.org	2/2/2022 12:03:00 AM
2	2021.09.29NotaresumenllamadaAMA-PNUD-DUE-CTAResilienciaCostera_11812_210 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/2021.09.29NotaresumenllamadaAMA-PNUD-DUE-CTAResilienciaCostera_11812_210.pdf)	teresa.lopez.seijas@undp.org	2/2/2022 12:06:00 AM

11. Are risks to the project adequately monitored and managed?

- 3: The project has actively monitored risks every quarter including consulting with key stakeholders, including security advisors, to identify continuing and emerging risks and to assess if the main assumptions remain valid. There is clear evidence that relevant management plans and mitigating measures are being fully implemented to address each key project risk, and have been updated to reflect the latest risk assessment. (all must be true)
- 2: *The project has monitored risks every year, as evidenced by an updated risk log. Some updates have been made to management plans and mitigation measures.***
- 1: The risk log has not been updated as required. There may be some evidence that the project has monitored risks (including security risks or incidents) that may affect the project's achievement of results, but there is no explicit evidence that management actions have been taken to mitigate risks. In the case of a deteriorating security environment, no consultation has occurred with the UNDP Security Office on appropriate measures.

Evidence:

La matriz de riesgos se actualizó en el 2020, incluyendo el riesgo asociado a la pandemia de la COVID 19 y se precisó en el 2021, haciendo énfasis en la importancia de este riesgo y reflejando la medida adoptada de mecanismo de seguimiento sistemático entre la UMP nacional, la AMA y el PNUD para monitorear las afectaciones y manejar soluciones alternativas.

Se adjunta la matriz de riesgos actualizada en 2021.

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#	File Name	Modified By	Modified On
1	MatrizdeRiesgos-Informe2021proyectoResilienciaCostera_11812_211 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/MatrizdeRiesgos-Informe2021proyectoResilienciaCostera_11812_211.pdf)	teresa.lopez.seijas@undp.org	2/2/2022 12:31:00 AM

Efficient**Quality Rating: Satisfactory**

12. Adequate resources have been mobilized to achieve intended results. If not, management decisions were taken to adjust expected results in the project's results framework.

- Yes
- No

Evidence:

Al cierre del 2021 el proyecto tiene comprometido cerca del 50% del presupuesto total, lo que representa la ejecución del 100% de la primera contribución y el compromiso del 77,95 % de la segunda contribución recibida de UE. Aunque el compromiso de los fondos ha aumentado significativamente en este periodo, la ejecución financiera continúa siendo baja (34% del presupuesto total), afectada fundamentalmente por la situación epidemiológica de la COVID 19 que ha limitado las actividades de monitoreo, consultorías, talleres y ha incidido en la dilación de los procesos de contratación por parte de la importadora EMIDICT. Se ha establecido un mecanismo de seguimiento sistemático de los procesos de adquisiciones PNUD-AMA-EMIDICT que ha permitido avanzar en el último trimestre en el recibo de mercancías y se intensificará durante el 2022 para impulsar la implementación de las soluciones.

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No documents available.			

13. Are project inputs procured and delivered on time to efficiently contribute to results?

- 3: The project has an updated procurement plan. Implementation of the plan is on or ahead of schedule. The project quarterly reviews operational bottlenecks to procuring inputs in a timely manner and addresses them through appropriate management actions. (all must be true)
- 2: *The project has an updated procurement plan. The project annually reviews operational bottlenecks to procuring inputs in a timely manner and addresses them through appropriate management actions. (all must be true)*
- 1: The project does not have an updated procurement plan. The project may or may not have reviewed operational bottlenecks to procuring inputs in a timely manner, however management actions have not been taken to address them.

Evidence:

El proyecto ha actualizado anualmente su plan de adquisiciones y el mecanismo de seguimiento conjunto AMA-PNUD-EMIDICT ha resultado efectivo y ha permitido avanzar en este periodo en el recibo de mercancías de más del 70% de lo contratado. Se han presentado además en el último trimestre del 2021 las solicitudes de adquisiciones que garantizarán completar el equipamiento necesario para concluir la implementación de las soluciones en el último periodo 2022-2023.

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14. Is there regular monitoring and recording of cost efficiencies taking into account the expected quality of results?

- 3:** *There is evidence that the project regularly reviews costs against relevant comparators (e.g., other projects or country offices) or industry benchmarks to ensure the project maximizes results that can be delivered with given resources. The project actively coordinates with other relevant ongoing projects and initiatives (UNDP or other) to ensure complementarity and seek efficiencies wherever possible (e.g. joint activities.) (both must be true)*
- 2:** The project monitors its own costs and gives anecdotal examples of cost efficiencies (e.g., spending less to get the same result,) but there is no systematic analysis of costs and no link to the expected quality of results delivered. The project coordinates activities with other projects to achieve cost efficiency gains.
- 1:** There is little or no evidence that the project monitors its own costs and is considering ways to save money beyond following standard procurement rules.

Evidence:

Se ha continuado cumpliendo la normativa nacional y de PNUD para la realización de las contrataciones a partir de la comparación de varias ofertas, buscando precios competitivos. Se monitorean sistemáticamente los costos y se coordinan acciones conjuntas con otros proyectos, como por ejemplo la sinergia con el proyecto Conectando Paisajes en Yaguajay, donde se ha complementado el trabajo en el fortalecimiento de entidades por ambos proyectos.

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1	DOCUMENTOS_PAGO_ANNELIS_11812_214 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/DOCUMENTOS_PAGO_ANNELIS_11812_214.pdf)	teresa.lopez.seijas@undp.org	2/4/2022 9:47:00 PM

Effective**Quality Rating: Needs Improvement**

15. Is the project is on track to deliver its expected outputs?

- Yes
 No

Evidence:

A partir de las afectaciones relacionadas con la pandemia de la COVID 19, que han incidido en el cumplimiento en el tiempo inicialmente acordado de las actividades del proyecto, fue acordado con UE una extensión del periodo de aplicación de 12 meses para poder concluir la implementación de las soluciones de adaptación, monitorear los impactos y sistematizar las experiencias. Durante el 2021 se avanzó en la conclusión de los trabajos metodológicos que permitieron generar las herramientas principales planificadas y se iniciaron 19 proyectos para la implementación de las soluciones de adaptación en las 4 áreas de intervención. Durante el próximo periodo se intensificarán las acciones para la implementación de las soluciones de rehabilitación de los ecosistemas costeros y la aplicación de las herramientas de planificación para la integración de la RRD/ACC en los planes de desarrollo territorial.

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16. Have there been regular reviews of the work plan to ensure that the project is on track to achieve the desired results, and to inform course corrections if needed?

- 3: Quarterly progress data has informed regular reviews of the project work plan to ensure that the activities implemented are most likely to achieve the desired results. There is evidence that data and lessons learned (including from evaluations and/or After Action Reviews) have been used to inform course corrections, as needed. Any necessary budget revisions have been made. (both must be true)
- 2: *There has been at least one review of the work plan per year to assess if project activities are on track to achieving the desired development results (i.e., outputs.) There may or may not be evidence that data or lessons learned has been used to inform the review(s). Any necessary budget revisions have been made.*
- 1: While the project team may have reviewed the work plan at least once over the past year to ensure outputs are delivered on time, no link has been made to the delivery of desired development results. Select this option also if no review of the work plan by management has taken place over the past year.

Evidence:

Se realizó en el primer trimestre del 2020 el ajuste d el plan de trabajo y la revisión presupuestaria correspondiente a la extensión aprobada del periodo de implementación del proyecto.

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17. Are targeted groups being systematically identified and engaged, prioritizing the marginalized and excluded, to ensure results are achieved as expected?

- 3: The project is targeting specific groups and/or geographic areas, identified by using credible data sources on their capacity needs, deprivation and/or exclusion from development opportunities relevant to the project's area of work. There is clear evidence that the targeted groups are being reached as intended. The project has engaged regularly with targeted groups over the past year to assess whether they are benefiting as expected and adjustments were made if necessary to refine targeting. (all must be true)
- 2: *The project is targeting specific groups and/or geographic areas, based on some evidence of their capacity needs, deprivation and/or exclusion from development opportunities relevant to the project's area of work. Some evidence is provided to confirm that project beneficiaries are members of the targeted groups. There has been some engagement with beneficiaries in the past year to assess whether they are benefiting as expected. (all must be true)*
- 1: The project does not report on specific targeted groups. There is no evidence to confirm that project beneficiaries are deprived and/or excluded from development opportunities relevant to the project area of work. There may have been some engagement with beneficiaries to assess whether they are benefiting as expected, but it has been limited or has not occurred in the past year.
- Not Applicable

Evidence:

Los beneficiarios principales del proyecto, técnicos de las entidades de ciencia y de servicios locales, representantes de gobiernos, productores y productoras de la agricultura urbana y familiar y población de las comunidades costeras, han estado involucrados en la conciliación de las acciones a realizar y el levantamiento de información anual para el monitoreo de avances y resultados. Los avances han sido difundidos además en diferentes espacios de intercambio nacionales y territoriales y fundamentalmente aprovechando las potencialidades de las redes sociales, dada las limitaciones impuestas por la COVID 19, donde se han difundido las acciones y evidenciado el involucramiento de los actores y las comunidades.

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Sustainability & National Ownership**Quality Rating: Satisfactory**

18. Are stakeholders and national partners fully engaged in the decision-making, implementation and monitoring of the project?

- 3: Only national systems (i.e., procurement, monitoring, evaluation, etc.) are used to fully implement and monitor the project. All relevant stakeholders and partners are fully and actively engaged in the process, playing a lead role in project decision-making, implementation and monitoring. (both must be true)
- 2: *National systems (i.e., procurement, monitoring, evaluation, etc.) are used to implement and monitor the project, but other support (such as country office support or project systems) may also be used if necessary. All relevant stakeholders and partners are fully and actively engaged in the process, playing an active role in project decision-making, implementation and monitoring. (both must be true)*
- 1: There is relatively limited or no engagement with national stakeholders and partners in the decision-making, implementation and/or monitoring of the project.
- Not Applicable

Evidence:

Se ha logrado la participación activa de todos los socios en la implementación y el compromiso de las instituciones líderes nacionales, Agencia de Medio Ambiente, AMA, y el Instituto de Geofísica y Astronomía, IGA, y de los Gobiernos locales. Las instituciones nacionales y locales han cubierto los gastos de personal y gestiones administrativas. Las entidades clave de los sectores agroforestal y recursos hídricos han mostrado su disponibilidad a colaborar con sus capacidades actuales en el avance de la implementación de las soluciones de adaptación en los 4 municipios de intervención. Se han utilizado con eficiencia los mecanismos nacionales de seguimiento combinados con el apoyo de los equipos técnicos y de coordinación municipales. Los beneficiarios principales del proyecto, han estado involucrados en el levantamiento de información anual para el sistema de monitoreo de avances implementado. El personal contratado por PNUD ha apoyado todos los procesos clave de la gestión del proyecto, ha asesorado el sistema de monitoreo de los avances e impactos y los reportes anuales.

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19. There is regular monitoring of changes in capacities and performance of institutions and systems relevant to the project, as needed. The [implementation arrangements](#)⁵ have been adjusted according to changes in partner capacities.

- 3: In the past two years, changes in capacities and performance of institutions and systems have been comprehensively assessed/monitored using clear indicators, rigorous methods of data collection and credible data sources including relevant HACT assurance activities. Implementation arrangements have been formally reviewed and adjusted, if needed, in agreement with partners according to changes in partner capacities. (both must be true)
- 2: *In the past two years, aspects of changes in capacities and performance of relevant national institutions and systems have been monitored by the project using indicators and reasonably credible data sources including relevant HACT assurance activities. Some adjustment has been made to implementation arrangements if needed to reflect changes in partner capacities. (both must be true)*
- 1: Some aspects of changes in capacities and performance of relevant national institutions and systems may have been monitored by the project, however changes to implementation arrangements have not been considered. Also select this option if changes in capacities and performance of relevant national institutions and systems have not been monitored by the project.
- Not Applicable

Evidence:

Las capacidades y el desempeño de la entidad nacional líder se han mantenido (HACT activo). No se recibió auditoría durante este primer periodo por los bajos montos ejecutados en 2019-2020. Se ha planificado la realización del ejercicio de auditoría en el primer trimestre del 2022, correspondiente al desempeño del 2021.

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20. The transition and phase-out arrangements are reviewed regularly and adjusted according to progress (including financial commitments and capacity).

- 3: The project's governance mechanism has reviewed the project's sustainability plan, including arrangements for transition and phase-out, to ensure the project is on track in meeting the requirements set out by the plan. The plan has been adjusted according to progress as needed. (both must be true)
- 2: *There has been a review of the project's sustainability plan, including arrangements for transition and phase-out, to ensure the project is on track in meeting the requirements set out by the plan.*
- 1: The project may have a sustainability plan, but there has not been a review of this strategy since it was developed. Also select this option if the project does not have a sustainability strategy.

Evidence:

La sostenibilidad de las soluciones naturales para la adaptación que se promueven se asegura a partir de su integración en los planes territoriales de implementación de la Tarea Vida que tienen un sistema de seguimiento y apoyo financiero desde los gobiernos territoriales y las entidades sectoriales. De igual manera las capacidades que están siendo fortalecidas en entidades productivas y comunidades permitirán continuar las acciones de mantenimiento y la réplica hacia otras áreas costeras de los territorios. Por otra parte, la implementación de soluciones AbC en sitios destinados a la agricultura urbana y familiar, insertados en los ecosistemas costeros, resultará en una contribución importante a la implementación de las estrategias de seguridad alimentaria y autoabastecimiento municipal en las áreas de intervención. De igual manera se planifica que las lecciones aprendidas que se sistematicen en el periodo final del proyecto, durante el 2022-2023, serán difundidas para promover su réplica, a los 11 municipios de las 4 áreas de intervención.

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QA Summary/Project Board Comments

El proyecto ha avanzado en el cumplimiento de sus actividades principales, a pesar de las dificultades presentadas asociadas a la pandemia de la COVID 19. Se han concluido las principales herramientas de planificación- gestión que serán aplicadas en apoyo a los gobiernos locales para la integración de la gestión de RRD y ACC en la planificación del desarrollo territorial y se ha avanzado en la definición e inicio de la implementación de las soluciones naturales de adaptación en las cuatro áreas costeras de intervención. El mayor desafío para el próximo periodo está en impulsar la ejecución financiera asociada a los procesos de adquisiciones finales que permitan complementar el equipamiento e insumos necesarios para la implementación efectiva de las soluciones, así como avanzar en la aplicación y apropiación de las herramientas promovidas para su integración a la planificación del desarrollo territorial por los gobiernos y sectores clave.